CLAIMS

What is claimed is:

- An apparatus, comprising: 1 1. a buried tapered waveguide disposed in a semiconductor layer; and 2 3 a tapered rib waveguide disposed in the semiconductor layer proximate to the buried tapered waveguide, the tapered rib waveguide 4 5 including a rib portion adjoining a slab portion, the slab portion of the rib waveguide adjoining the buried tapered waveguide, wherein an optical beam 6 is directed into a larger end of the buried tapered waveguide and the tapered 7 8 rib waveguide, the buried tapered waveguide tapered to guide the optical 9 beam therethrough into the slab portion of the rib waveguide.
- The apparatus of claim 1 further comprising an insulator
 disposed in the semiconductor layer, the insulator surrounding and serving
 as cladding for the buried tapered waveguide to provide vertical and lateral
 optical confinement in the buried tapered waveguide.
- 1 3. The apparatus of claim 2 wherein a smaller end of the buried 2 tapered waveguide opposite the larger end of the buried tapered waveguide 3 is defined by the insulator disposed in the semiconductor layer.

- 1 4. The apparatus of claim3 wherein the insulator comprises oxide
- 2 grown in a tapered trench etched from the semiconductor layer.
- The apparatus of claim 3 wherein the buried tapered waveguide
- 2 and the tapered rib waveguide comprise epitaxial lateral overgrowth (ELO)
- 3 silicon defined within the oxide.
- 1 6. The apparatus of claim 1 wherein the buried tapered waveguide
- 2 includes a first and second taper regions, the first taper region tapering at a
- 3 first taper rate from the larger end of the buried tapered waveguide to the
- 4 second taper region of the buried tapered waveguide, the second taper
- 5 region tapering at a second taper rate from the first taper region of the
- 6 buried tapered waveguide to a smaller end of the buried tapered waveguide,
- 7 wherein the first taper rate is greater than the second taper rate.
- 7. The apparatus of claim 1 wherein the tapered rib waveguide
- 2 includes a first and second taper regions, the first taper region tapering at a
- 3 third taper rate from the larger end of the tapered rib waveguide to the
- 4 second taper region of the tapered rib waveguide, the second taper region
- 5 tapering at a fourth taper rate from the first taper region of the tapered rib
- 6 waveguide to a smaller end of the tapered rib waveguide, wherein the third
- 7 taper rate is greater than the fourth taper rate.

- 1 8. A method, comprising:
- directing an optical beam into a larger end of a buried tapered
- 3 waveguide and a tapered rib waveguide disposed in a semiconductor layer,
- 4 the tapered rib waveguide including a rib portion adjoining a slab portion,
- 5 the slab portion of the rib waveguide adjoining the buried tapered
- 6 waveguide;
- 7 directing a mode of the optical beam propagating through the buried
- 8 tapered waveguide into the slab portion of the rib waveguide adjoining the
- 9 buried tapered waveguide; and
- outputting substantially all of the optical beam directed into the larger
- end of the buried tapered waveguide and the tapered rib waveguide from a
- smaller end of the tapered rib waveguide, the smaller end of the tapered rib
- waveguide opposite the larger end of the tapered rib waveguide.
- 1 9. The method of claim 8 further comprising shrinking a mode size
- 2 of the optical beam from a larger mode size when directed into the larger
- 3 end of the buried tapered waveguide and the tapered rib waveguide to a
- 4 smaller mode size when output from the smaller end of the tapered rib
- 5 waveguide.
- 1 10. The method of claim 9 wherein shrinking the mode size of the
- 2 optical beam comprises:

- 3 shrinking the mode size of the optical beam at a first taper rate when
- 4 the optical beam is directed into the larger end of the buried tapered
- 5 waveguide and the tapered rib waveguide; and
- shrinking the mode size of the optical beam at a second taper rate
- 7 when directing the mode of the optical beam propagating through the buried
- 8 tapered waveguide into the slab portion of the rib waveguide adjoining the
- 9 buried tapered waveguide.
- 1 11. The method of claim 10 wherein the first taper rate is greater
- 2 than the second taper rate.
- 1 12. The method of claim 8 wherein directing the optical beam into
- 2 the larger end of the buried tapered waveguide and the tapered rib
- 3 waveguide includes directing the optical beam from an optical fiber.
- 1 13. The method of claim 8 further comprising directing the optical
- 2 beam from the smaller end of the tapered rib waveguide into a
- 3 semiconductor photonic device disposed in the semiconductor layer.
- 1 14. A method, comprising:
- etching a first semiconductor layer of a silicon-on-insulator (SOI)
- 3 wafer with a first mask;

- 4 etching a buried taper opening into a second semiconductor layer of
- 5 the SOI wafer with a buried taper mask, the buried taper mask having a
- 6 larger end and a smaller end;
- 7 growing an insulating layer in the buried taper opening;
- growing silicon in and over the buried taper opening over the
- 9 insulator layer to form a buried tapered waveguide; and
- patterning a tapered rib waveguide in the silicon grown over the
- buried tapered waveguide using a tapered rib waveguide mask such that a
- slab portion of the tapered rib waveguide adjoins the buried tapered
- waveguide, the tapered rib waveguide having a larger end and a smaller end
- 14 corresponding to the larger and smaller ends, respectively, of the buried
- 15 tapered waveguide.
- 1 15. The method of claim 14 further comprising sharpening a tip of
- 2 the buried tapered waveguide defined at the smaller end of the buried taper
- 3 opening by growing the insulating layer in the buried taper opening.
- 1 16. The method of claim 14 wherein etching the buried taper
- 2 opening into the second semiconductor layer of the SOI wafer with the
- 3 buried taper mask includes defining first and second taper regions in the
- 4 buried tapered waveguide, the first taper region of the buried tapered
- 5 waveguide to taper at a first taper rate from the larger end of the buried
- 6 tapered waveguide to the second taper region of the buried tapered

- 7 waveguide, the second taper region of the buried tapered waveguide to taper
- 8 at a second taper rate from the first taper region of the buried tapered
- 9 waveguide to the smaller end of the buried tapered waveguide.
- 1 17. The method of claim 16 wherein the first taper rate greater than
- 2 the first taper rate is greater than the second taper rate.
- 1 18. The method of claim 14 wherein patterning the tapered rib
- 2 waveguide in the silicon grown over the buried tapered waveguide using the
- 3 tapered rib waveguide mask includes defining first and second taper regions
- 4 in the tapered rib waveguide, the first taper region of the tapered rib
- 5 waveguide to taper at a third taper rate from the larger end of the tapered
- 6 rib waveguide to the second taper region of the tapered rib waveguide, the
- 7 second taper region of the tapered rib waveguide to taper at a fourth taper
- 8 rate from the first taper region of the tapered rib waveguide to the smaller
- 9 end of the tapered rib waveguide.
- 1 19. The method of claim 18 wherein the third taper rate greater
- 2 than the fourth taper rate.
- 1 20. The method of claim 14 further comprising optically coupling an
- 2 optical fiber to the larger ends of the buried tapered waveguide and the
- 3 tapered rib waveguide.

The method of claim 14 further comprising optically coupling a 21. 1 photonic device disposed in the SOI wafer to the smaller end of the tapered 2 rib waveguide. 3 22. A system, comprising: 1 an optical transmitter to transmit an optical beam; 2 an optical receiver; and 3 an optical device disposed between the optical transmitter and the 4 optical receiver, the optical device including: 5 a buried tapered waveguide disposed in a semiconductor layer; 6 a tapered rib waveguide disposed in the semiconductor layer 7 proximate to the buried tapered waveguide, the tapered rib waveguide 8 including a rib portion adjoining a slab portion, the slab portion of the rib 9 waveguide adjoining the buried tapered waveguide, wherein an optical beam 10 is directed into a larger end of the buried tapered waveguide and the tapered 11 rib waveguide, the buried tapered waveguide tapered to guide the optical 12 beam therethrough into the slab portion of the rib waveguide; and 13 a photonic device disposed in the semiconductor layer optically 14 coupled to the smaller end of the tapered rib waveguide, 15 the optical beam optically coupled to be received from the optical 16

transmitter by the buried tapered waveguide and the tapered rib waveguide,

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- 18 the optical to be directed from the tapered rib waveguide through the
- 19 photonic device to the optical receiver.
- 1 23. The system of claim 22 further comprising an optical fiber
- 2 optically coupled between the optical transmitter and the buried tapered
- 3 waveguide and the tapered rib waveguide.
- 1 24. The system of claim 22 wherein the optical device further
- 2 comprises an insulator disposed in the semiconductor layer, the insulator
- 3 surrounding and serving as cladding for the buried tapered waveguide to
- 4 provide vertical and lateral optical confinement in the buried tapered
- 5 waveguide.
- 1 25. The system of claim 24 wherein a smaller end of the buried
- 2 tapered waveguide opposite the larger end of the buried tapered waveguide
- 3 is defined by the insulator disposed in the semiconductor layer.
- 1 26. The system of claim 22 wherein the buried tapered waveguide
- 2 includes a first and second taper regions, the first taper region tapering at a
- 3 first taper rate from the larger end of the buried tapered waveguide to the
- 4 second taper region of the buried tapered waveguide, the second taper
- 5 region tapering at a second taper rate from the first taper region of the

- 6 buried tapered waveguide to a smaller end of the buried tapered waveguide,
- 7 wherein the first taper rate is greater than the second taper rate.
- 1 27. The system of claim 22 wherein the tapered rib waveguide
- 2 includes a first and second taper regions, the first taper region tapering at a
- 3 third taper rate from the larger end of the tapered rib waveguide to the
- 4 second taper region of the tapered rib waveguide, the second taper region
- 5 tapering at a fourth taper rate from the first taper region of the tapered rib
- 6 waveguide to a smaller end of the tapered rib waveguide, wherein the third
- 7 taper rate is greater than the fourth taper rate.